

On the syntax-semantics interface of idiomatic multi-word expressions

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What are MWEs?

Complex expressions which have surprising properties not predictable from their component words.^[5]

- (1) kingdom come, by and large
- (2) leave sb. in the lurch
- (3) kick the bucket
- (4) spill the beans
- (5) take a shower (light-verb construction)
- (6) call sb. up (verb-particle construction)
- (7) bis der Arzt kommt
Ich glaube, mich tritt ein Pferd.^[31]
- (8) John loaded the hay onto the wagon.
John loaded the wagon with the hay.
- (9) Telefonhäuschen, frische Luft (false friends)
#Telefonhüttchen, #neue Luft

Sorts of idiomaticity (Baldwin & Kim 2010)

- lexical idiomaticity
 - bound words: *ad hoc*, *leave sb. in the lurch*
- syntactic idiomaticity
 - ill-formed: *kingdom come*, *by and large*
- **semantic idiomaticity**
 - non-decomposable (\approx non-predictable): *kick the bucket* ('die')
 - decomposable (\approx predictable): *spill the beans* ('reveal secrets')
 - predictable with additions: *bus driver* ('one who drives the bus', not 'one who drives like a bus')
- pragmatic idiomaticity: *good morning*
- statistical idiomaticity ("collocations")
 - adjective noun combinations: *frische/#neue Luft*
 - ordering of conjuncts: *black and white television*

Constraints on the emergence of idiomaticity

- lexical constraints: #*kick the pail*
- morphological constraints: #*kick the buckets*
- passivization constraints: #*The buckets were kicked.*
- linearization constraints: #*The bucket, John kicked.*
- modification constraints: *kick the social/#rusty bucket*

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- ⇒ coexistence of literal and idiomatic usages
- ⇒ “a pain in the neck for NLP” (Sag et al. 2002)
- identification (types and tokens)
 - lexicographic description
 - **grammar theory**
 - parsing
 - machine translation

compositional approaches

treat the MWE components as regular words

- overgeneration problem
 - idiomaticity problem
- ⇒ pitfall of missing the irregularities



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extreme
lexicalist

words-with-spaces approaches

treat the whole MWE as one word

- flexibility problem (except for fixed MWEs)
 - lexical proliferation problem
- ⇒ pitfall of missing the regularities

extreme
constructionist

Introduce a novel approach using TAG (collaboration with Laura Kallmeyer)^[22]

- lexicalist or constructionist?
- syntactic or semantic ambiguity?
- compositional or non-compositional?

Introduce a novel approach using TAG (collaboration with Laura Kallmeyer)^[22]

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- ~~syntactic~~ or semantic ambiguity?
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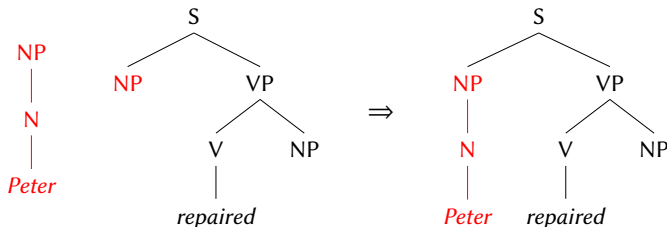
- 1 Tree-Adjoining Grammar + frame semantics
- 2 Former work
 - Syntactic ambiguity approaches with TAG
 - Semantic ambiguity approaches
- 3 **New:** Semantic ambiguity approach with TAG
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Tree-Adjoining Grammar

Tree-Adjoining Grammar (TAG)^[2,17,18]

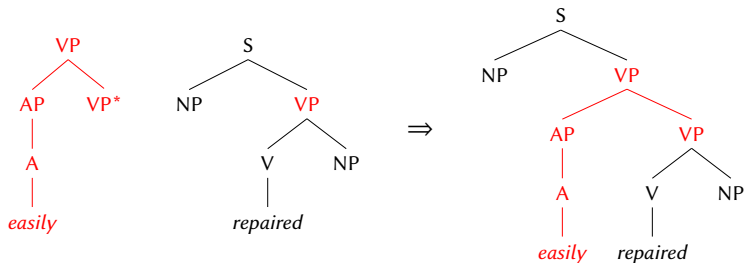
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- Elementary trees can be combined by two operations:
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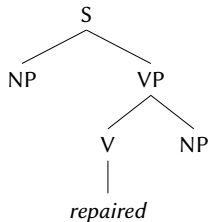


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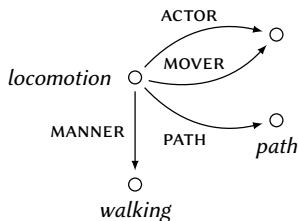
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 - The head immediately combines with its arguments.
 - no predetermined derivational order
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- Lexical generalizations are expressed in the **metagrammar**.

- Frames emerged as a representation format of lexical and conceptual knowledge.^[7,13,23]

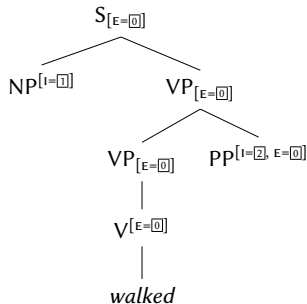


<i>locomotion</i>	
ACTOR	[1]
MOVER	[1]
PATH	<i>path</i>
MANNER	<i>walking</i>

- Frames can be formalized as (extended) typed feature structures.^[19,28]
- Frames \neq FrameNet frames^[27]
- Frame semantics with quantification using e.g. Hybrid Logic^[20]

Kallmeyer & Osswald [19]:

- lexicon: **pairs of elementary trees and frames**



<i>bounded-locomotion</i>	
ACTOR	1
MOVER	1
GOAL	2
PATH	<i>path</i>
MANNER	<i>walking</i>

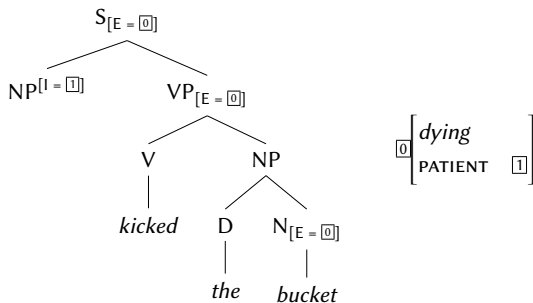
- Elementary trees are enriched with **interface features**, which contain base labels from the frame representation.
 - unification of interface features \rightsquigarrow unification of frames
- parallel composition of derived trees and larger frames

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Syntactic ambiguity approaches with TAG

(idea from Abeillé & Schabes)^[1,3,4]

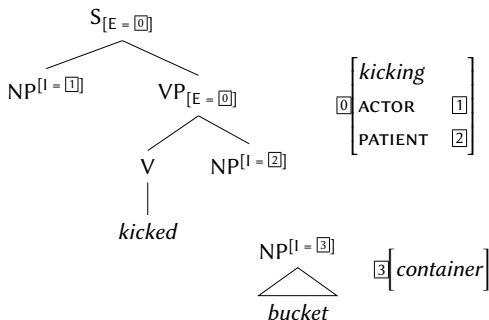
Idiomatcity through multiple anchoring: Components of an MWE jointly anchor an elementary tree.



Syntactic ambiguity approaches with TAG

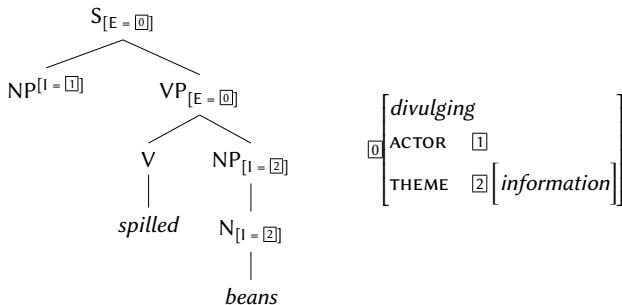
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The literal meaning is evoked by regular single-anchored elementary trees:



Syntactic ambiguity approaches with TAG

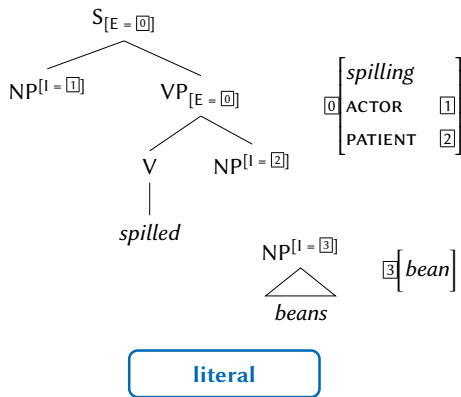
Example with “decomposable” *spill the beans*:



idiomatic

Syntactic ambiguity approaches with TAG

Example with “decomposable” *spill the beans*:



Syntactic ambiguity approach

There are different syntactic derivations/representations for literal and idiomatic meanings.

Also found in:^[30]

- Transformational Grammar (Chomsky 1980)
- Lexical-functional Grammar (Bresnan 1982)
- Head-driven Phrase Structure Grammar (Sailer 2000)^[31,35]
- Sign-based Construction Grammar (Kay, Sag & Flickinger To appear)

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But there are (general?) problems ...

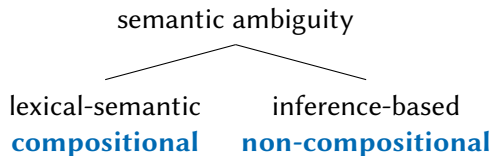
Syntactic ambiguity approaches: Problems

- bad for parsing: non-delayable ambiguity resolution
- missing compatibility with psycholinguistic results (Müller & Wechsler): MWEs cause an increased semantic rather than syntactic processing load.^[29,36,37]
- missing connection between literal and idiomatic meaning
- missing account of the “extendability” of literal senses (Egan):
(10) *If you let this cat out of the bag, a lot of people are going to get scratched.*
- missing generalizations on lexical variability (Pulman):
{*put/lay/spread*} *the cards on the table*
{*let the cat / the cat is*} *out of the bag*
- difficult to deal with partial uses:
(11) *Eventually she spilled all the beans. But it took her a few days to spill them all.* (Riehemann)
(12) *Pat pulled some strings for Chris. But Alex didn't have access to any strings.* (Manfred Sailer, pc)

Semantic ambiguity approach

There is one syntactic derivation/representation for literal and idiomatic meanings.

- ⇒ There is no special lexical entry for MWEs;
kick and *spill* each have only one lexical entry.



Components of decomposable MWEs are assigned disjunctions over meaning constants (of intensional logic):

- (13) a. *spill* \rightsquigarrow *spill'* || *spill-idiom'*
 beans \rightsquigarrow *beans'* || *beans-idiom'*
- b. *spill-idiom'* (*beans-idiom'*): defined
 spill-idiom' (*beans'*): undefined
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partial functions

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partial functions

Also applicable to non-decomposable idioms (not in Gazdar et al. 1985):

- (14) a. *kick* \rightsquigarrow *kick'* || *kick-idiom'*
 bucket \rightsquigarrow *bucket'* || *bucket-idiom'*
- b. *kick-idiom'* (*bucket-idiom'*): defined
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partial functions

Advantages of Gazdar et al.'s partial function approach:

- unified syntax of literal and idiomatic readings
- delayable ambiguity resolution
- adequate in terms of human processing
(Prediction: increased semantic processing load; **no** categorical difference between lexical and idiomatic meanings)
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Drawbacks:

- invention of masses of meaning constants that essentially reflect morphological properties
- partial functions have to be defined explicitly

The idiomatic meaning is deduced from the literal one by means of “quasi-inference”. Hence MWE-components are equipped with their literal meaning only!

$$(15) \text{kick}'(x,y) \wedge \text{bucket}'(y) \approx \text{die}'(x)$$

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Drawbacks of Pulman's quasi-inference approach:

- poorly constrained surface: **The bucket was kicked.*
⇒ Pulman: due to information structure!
(*The bucket will be kicked.* (Manfred Sailer))

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- MWEs with bounded/cranberry words: *leave sb. in the lurch*
- MWEs with ill-formed syntax: *trip the light fantastic*
- computationally very powerful: non-monotonic inference rules.

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A lexical-semantic approach with TAG

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Our proposal: decompose meaning constants + constraint-based composition!

kick-idiom'

↷

FRAME	<i>dying</i>	
	PATIENT	1
MORPH	LEMMA	kick

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kick-idiom' \rightsquigarrow $\left[\begin{array}{l} \text{FRAME} \left[\begin{array}{l} \textit{dying} \\ \text{PATIENT} \quad \boxed{1} \end{array} \right] \\ \text{MORPH} \left[\begin{array}{l} \text{LEMMA} \quad \text{kick} \end{array} \right] \end{array} \right]$

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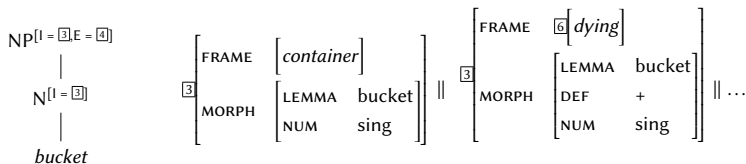
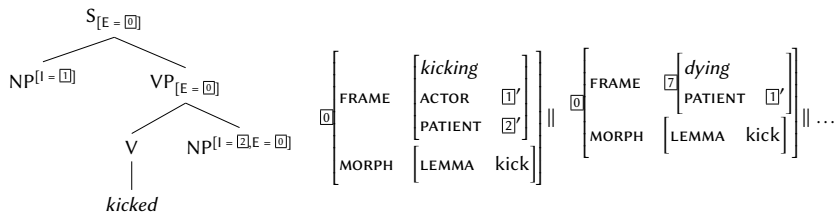
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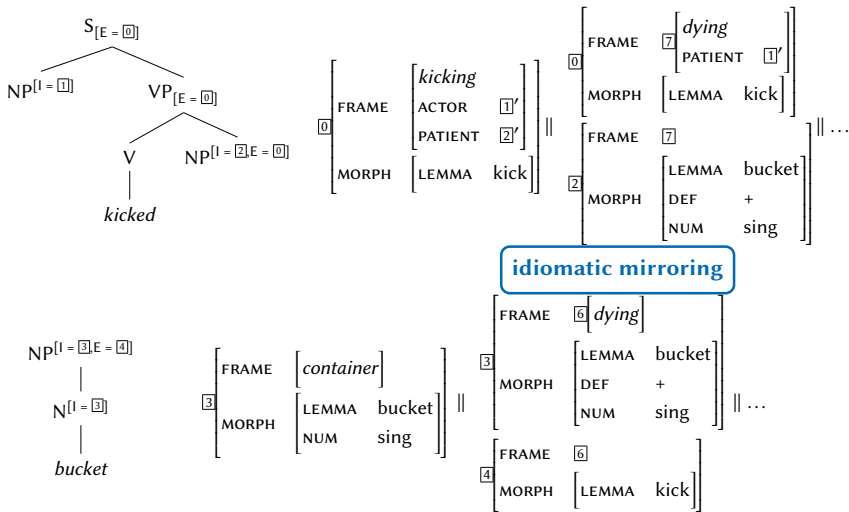
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⇒ How to combine those two?

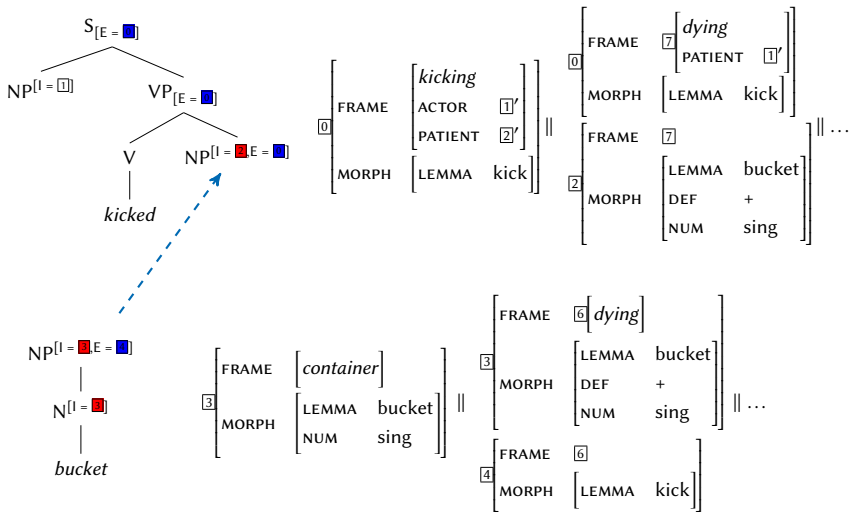
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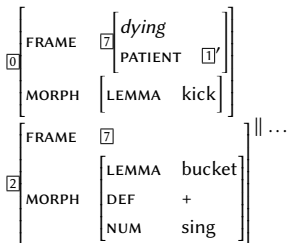
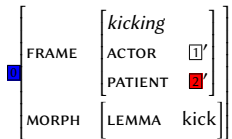
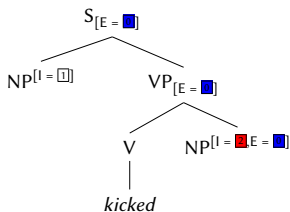
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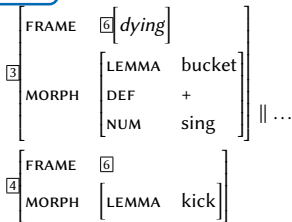
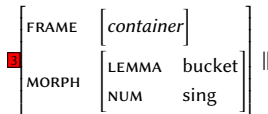
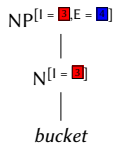
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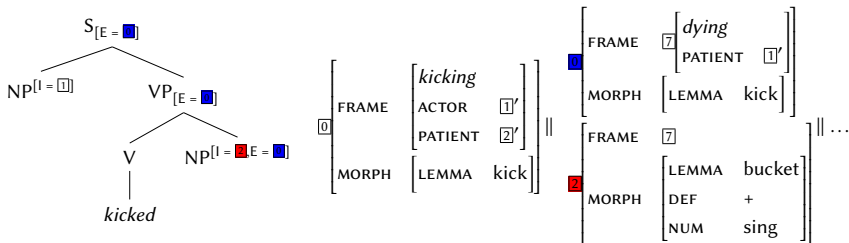
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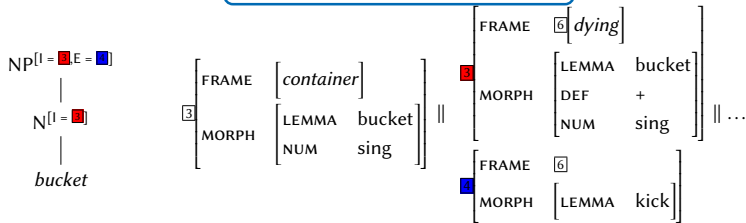
kicked'(bucket')



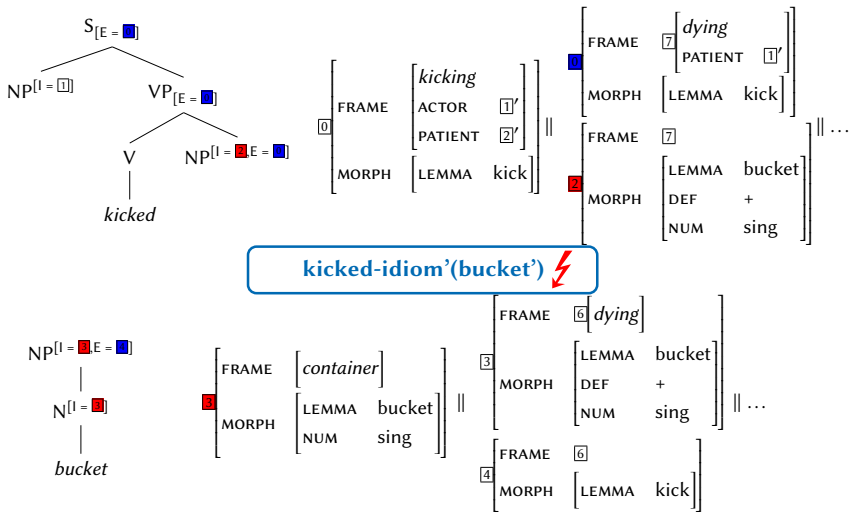
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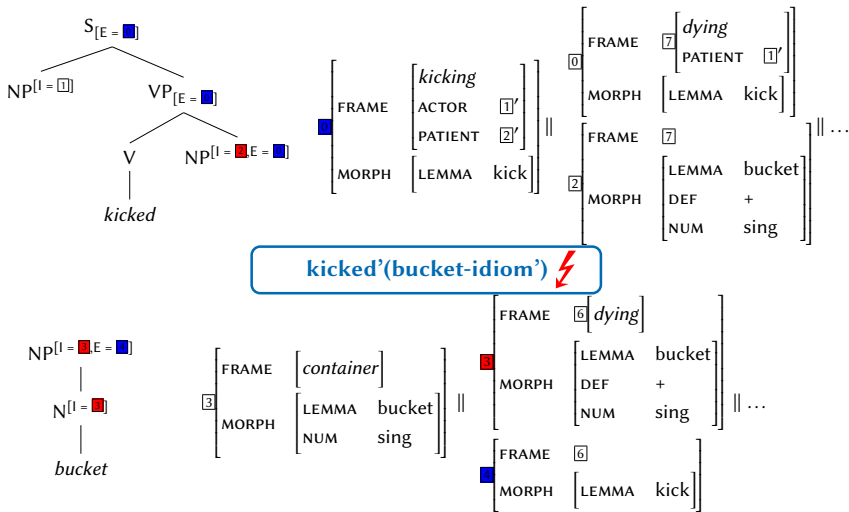
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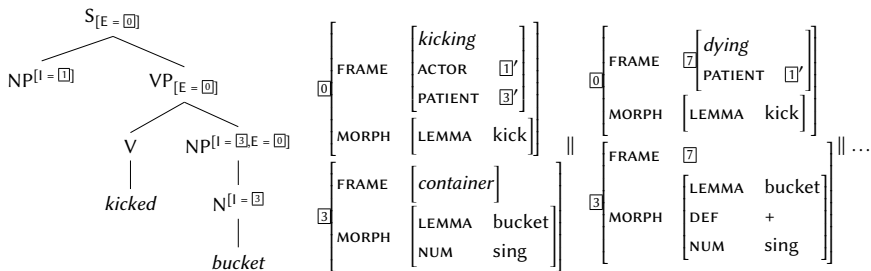


A lexical-semantic approach with TAG



A lexical-semantic approach with TAG

Result of combining *kicked* and *bucket*:



Bargmann's challenge

Here is a challenge from Bargmann (2015):

- (16) *The whole idea of the really talented/successful person in their 20s isn't a real thing. Or at the very least, it isn't an actual attainable thing. All those people have people behind them **pulling string after string** for them.*

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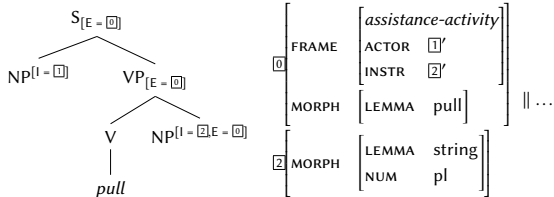
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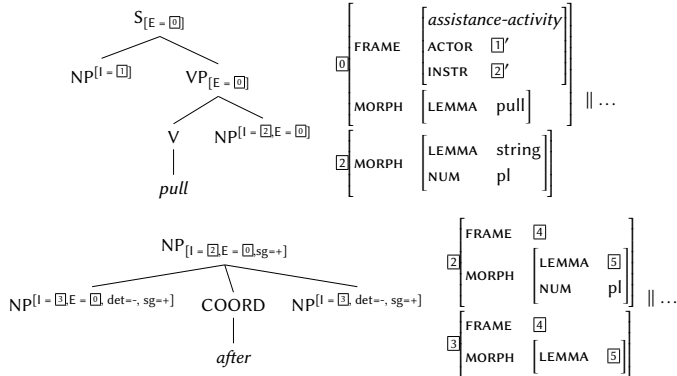
Working with HPSG, Bargmann proposes a “Semantic Representation approach”:

- idiom constants pull'_{id} and $\text{string}'_{\text{id}}$ have to co-occur
- $\text{string}'_{\text{id}}$ is in the scope of a “non-specific plural quantifier” (Mel'čuk)

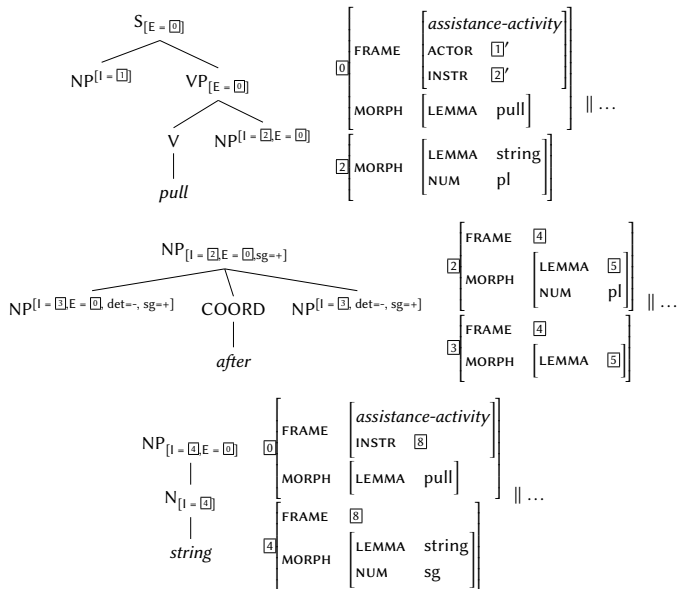
Bargmann's challenge: Analysis with TAG



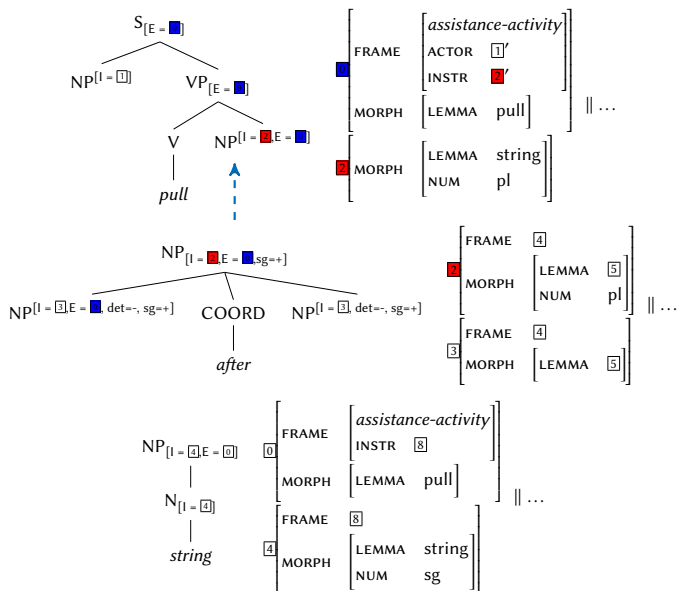
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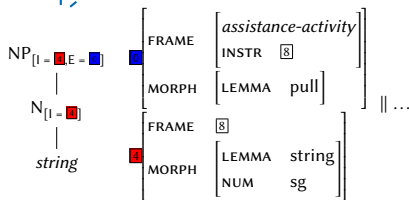
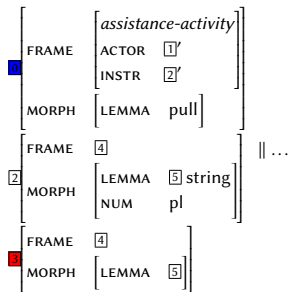
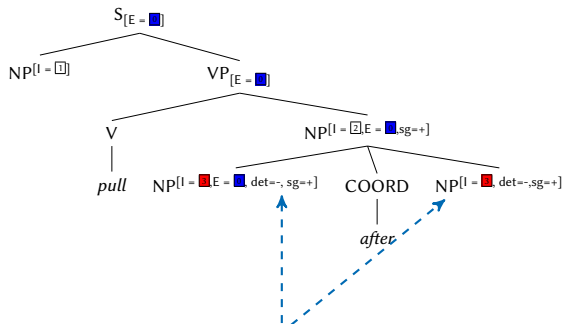
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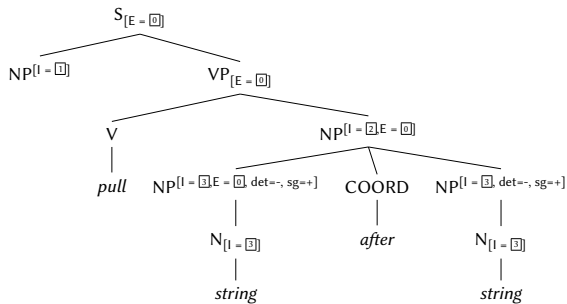
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Bargmann's challenge: Analysis with TAG



0	FRAME	$assistance-activity$
	INSTR	2' 4
2	MORPH	LEMMA pull
	NUM	pl
4	FRAME	4
	MORPH	LEMMA 5 string
3	FRAME	4
	MORPH	LEMMA 5 string
		NUM sg

|| ...

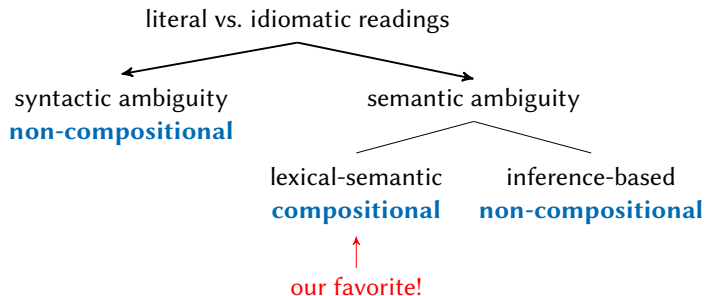
Advantages:

- unified syntax of literal and idiomatic readings
 - delayable ambiguity resolution
 - adequate in terms of human processing
(Prediction: increased semantic processing load; **no** categorical difference between lexical and idiomatic meanings)
 - closer connection between literal and idiomatic meanings
- + constraint-based composition

- 1 Tree-Adjoining Grammar + frame semantics
- 2 Former work
 - Syntactic ambiguity approaches with TAG
 - Semantic ambiguity approaches
- 3 **New:** Semantic ambiguity approach with TAG
- 4 **Summary**

Summary

The landscape of approaches to idiomatic MWEs from a TAG perspective:



- ⇒ One approach for all types of MWEs?
- ⇒ How to formalize inference-based approaches?
- ⇒ Connection between literal and idiomatic meaning?
 - What is the meaning of ||?

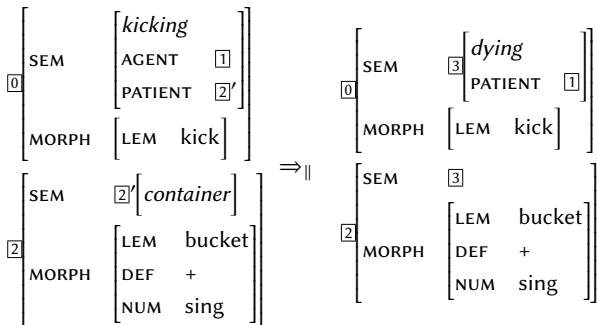
Appendix: Inference-based approach

Pulman's quasi inference rule

$$\forall x, y. \text{kick}'(x, y) \wedge \text{bucket}'(y) \approx \text{die}'(x)$$

Our interpretation

$$a \approx b \quad := \quad a \Rightarrow_{\parallel} b \quad := \quad a \Rightarrow (a \parallel b)$$



Modifiability of components:

- (17) The federal agency decided to take the project under its well-muscled, federally-funded wing.^[12]
- (18) auf den tatowierten Arm nehmen

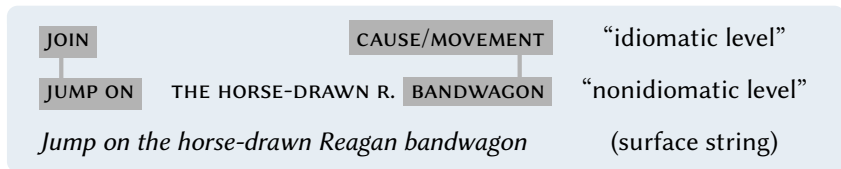
Appendix: Connection btw. literal and idiomatic meaning?

Modifiability of components:

(17) The federal agency decided to take the project under its well-muscled, federally-funded wing.^[12]

(18) auf den tatowierten Arm nehmen

Concurrency of meaning dimensions (Ernst 1981):



Appendix: Connection btw. literal and idiomatic meaning?

Modifiability of components:

(17) The federal agency decided to take the project under its well-muscled, federally-funded wing.^[12]

(18) auf den tatowierten Arm nehmen

Concurrency of meaning dimensions (Ernst 1981):

JOIN

CAUSE/MOVEMENT

“idiomatic level”

JUMP ON

THE HORSE-DRAWN R.

BANDWAGON

“nonidiomatic level”

Jump on the horse-drawn Reagan bandwagon

(surface string)

CHECK

SPEAKING-CAPACITY

∧ HE HAS A THIRST-SWOLLEN TONGUE

BITE

HIS THIRST-SWOLLEN

TONGUE

TONGUE

He bit his thirst-swollen tongue

Appendix: What does \parallel mean?

(work in collaboration with Christian Wurm)^[38]

Intentionality

$$a \parallel b \leq a \vee b \quad (1)$$

$$a \leq a \vee b \quad (2)$$

$$\mathbf{But:} \quad a \not\leq a \parallel b \quad (3)$$

I need some money! $\not\leq$ I need some dough!

I need some pastry or some money! \neq I need some dough!

Universal distribution

$$\sim (a \parallel b) = \sim a \parallel \sim b \quad (4)$$

...

Problem: If we add \parallel to a Boolean algebra, it has linguistically unappealing properties. (uniformity lemma: \parallel is not commutative)

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